LAP6 Rec'd PCT/PTO 16 FEB 2006
PTO-1390 (Rev. 07-2005)
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|  | ANSMITTAL LETTER TO THE UNITED STATES   | ATTORNEY'S DOCKET NUMBER 09792909-6623        |  |  |  |  |  |
|--|---|---|--|--|--|--|--|
| i e  | DESIGNATED/ELECTED OFFICE (DO/EO/US) ICERNING A SUBMISSION UNDER 35 U.S.C. 371                                      | U.S. APPLICATION 100 (H supply \$ 37 CFR 1.5) |  |  |  |  |  |
|  | TIONAL APPLICATION NO. INTERNATIONAL FILING DATE  | PRIORITY DATE CLAIMED                         |  |  |  |  |  |
|  | T/JP2005/010928 15 June 2005  | 18 June 2004                                  |  |  |  |  |  |
| TITLE OF INVENTION SEMICONDUCTOR LIGHT EMITTING DEVICE AND METHOD OF PRODUCING THE SAME  |   |   |  |  |  |  |  |
| APPLICANT(S) FOR DO/EO/US UCHIDA, Shiro; TOJO, Tsuyoshi  |   |   |  |  |  |  |  |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:  |   |   |  |  |  |  |  |
| 1. X This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.  |   |   |  |  |  |  |  |
| 2.   | This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.                     |   |  |  |  |  |  |
| 3. X This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. |   |   |  |  |  |  |  |
| 4. X   | The US has been elected (Article 31).   |   |  |  |  |  |  |
| 5. X   | A copy of the International Application as filed (35 U.S.C. 371(c)(2))  |   |  |  |  |  |  |
|  | a. is attached hereto (required only if not communicated by the International Bureau).                              |   |  |  |  |  |  |
|  | b. X has been communicated by the International Bureau.   |   |  |  |  |  |  |
|  | c. is not required, as the application was filed in the United States Receiv  | ing Office (RO/US).                           |  |  |  |  |  |
| 6. X   | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).                    |   |  |  |  |  |  |
|  | a. X is attached hereto.  |   |  |  |  |  |  |
|  | b. has been previously submitted under 35 U.S.C. 154(d)(4).   |   |  |  |  |  |  |
| 7. X   | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))                |   |  |  |  |  |  |
|  | a. x are attached hereto (required only if not communicated by the International Bureau).                           |   |  |  |  |  |  |
|  | b. have been communicated by the International Bureau.  |   |  |  |  |  |  |
|  | c. have not been made; however, the time limit for making such amendments has NOT expired.                          |   |  |  |  |  |  |
| _  | d. have not been made and will not be made.   |   |  |  |  |  |  |
| 8.   | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).         |   |  |  |  |  |  |
| 9. <b>[x</b> ]   | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).  |   |  |  |  |  |  |
| 10.  | An English language translation of the annexes of the International Preliminary E Article 36 (35 U.S.C. 371(c)(5)). | xamination Report under PCT                   |  |  |  |  |  |
| Items 11 to 20 below concern document(s) or information included:  |   |   |  |  |  |  |  |
| 11. 🔀  | An Information Disclosure Statement under 37 CFR 1.97 and 1.98. W/ In   | t'l Search Report                             |  |  |  |  |  |
| 12.  | An assignment document for recording. A separate cover sheet in compliance with                                     | th 37 CFR 3.28 and 3.31 is included.          |  |  |  |  |  |
| 13.  | A preliminary amendment.  |   |  |  |  |  |  |
| 14.  | An Application Data Sheet under 37 CFR 1.76.  |   |  |  |  |  |  |
| 15.  | A substitute specification.   |   |  |  |  |  |  |
| 16.  | A power of attorney and/or change of address letter.  |   |  |  |  |  |  |
| 17.  | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.       |   |  |  |  |  |  |
| 18.  | A second copy of the published International Application under 35 U.S.C. 154(d)                                     | (4).  |  |  |  |  |  |
| 19. 🔲  | A second copy of the English language translation of the international application                                  | under 35 U.S.C. 154(d)(4).                    |  |  |  |  |  |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOTISEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. INTERNATIONAL APPLICATION NO. U.S. APPLICATION NO. (if known, see 37 CFR 1.5) ATTORNEY'S DOCKET NUMBER PCT/JP2005/010928 09792909-6622 0/5687 20. Other items or information: Formal Drawings (Figs 1-9B - 7 pages) Request (Japanese) PCT Forms 301;304 The following fees have been submitted CALCULATIONS PTO USE ONLY Basic national fee (37 CFR 1.492(a))......\$300 \$ 300,00 22. X Examination fee (37 CFR 1.492(c)) \$200.00 If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)................\$0 All other situations.....\$200 Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report prepared by \$ 500.00 All other situations.....\$500 \$1000.00 TOTAL OF 21, 22 and 23 = Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. **Total Sheets** Extra Sheets Number of each additional 50 or fraction RATE thereof (round up to a whole number) - 100 = /50 = x \$250 Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration \$ after the date of commencement of the national stage (37 CFR 1.492(h)). NUMBER FILED NUMBER EXTRA RATE Total claims 29 - 20 = 9 x \$50 \$ 450.00 Independent claims 6 3 \$200 600.00 MULTIPLE DEPENDENT CLAIM(S) (if applicable) \$360 \$2050.00 TOTAL OF ABOVE CALCULATIONS = Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. SUBTOTAL = Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest \$ claimed priority date (37 CFR 1.492(i)). TOTAL NATIONAL FEE = 2050.00 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property TOTAL FEES ENCLOSED = 2050.00 Amount to be \$ refunded:

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| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. |  |  |  |                   |         |  |
| Cus<br>Son   | LL CORRESPONDENCE TO:<br>tomer #26263<br>menschein Nath & Rosenthal LLI<br>D. Box 061080<br>ker Drive Station, Sears Towe: | •  | SIGNATURE David R. Metzger NAME 32,919 | Jezze             |         |  |
| Chi  | cago, Illinois 60606-1080  | <u>-</u>                                     | REGISTRATION NUMBER                    |                   | <u></u> |  |
|  | 312 876 8000<br>312 876 7934   |  |  |                   |         |  |

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Applicant(s) UCHIDA, S.

Attorney Docket No. 09792909-6622

Transmittal (3 pages - in duplicate)

Specification (38 pages)

Drawings (7 pages - Figs. 1-9B)

Declaration - executed 3 pages

Information Disclosure Statement, Form 1449 w/Int'l Search Report and 4 references

PCT Forms 301,304;346

Request (Japanese)

Amendment - Article 19 (15 pages)

Form PTO 2038

Return postcard

Signature of Person Mailing Application and Fees

Paula M. Theismann